



#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Mail Stop PETITION Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

### **EXPRESS MAIL CERTIFICATE**

"Express Mail" mailing label number: EV 446 980 319 US

Date of Deposit:

October 26, 2004

Type of Document(s):

Return Postcard;

Check for \$130.00;

Transmittal Form;

Petition Under 37 C.F.R. § 1.181(a)(1) and 37 C.F.R. § 1.53(e)(2) To Correct The Filing Date of The Application, Appendix A (copy of date-stamped postcard), Appendix B (copy of Notice of Incomplete Nonprovisoinal Application), and Appendix C (copy

of originally filed claims).

Serial No.:

10/695,060

Filing Date:

October 28, 2003

I hereby certify that the documents identified above are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to: Mail Stop PETITION; Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Lorna D. Selvaggio

PTO/SB/21 (02-04)

Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1865, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

			Application Number	10/695,060		
TRANSMITTAL			Filing Date	October 28, 2003		
FORM			First Named Inventor	Seungkoo Kang et al.		
(to be used for all correspondence after initial filing)			Art Unit	1714		
			Examiner Name			
Total Number of Pages in	This Submission	18	Attorney Docket Number	5003073-033US1		
ENCLOSURES (check all that apply)						
Fee Transmittal Form		☐ Drawin		After Allowance Communication to Group		
Fee Attached - \$130.00		Licensing-related Papers		Appeal Communication to Board of Appeals and Interferences		
Amendment / Reply		Petition & Appendices		Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)		
After Final		Petition to Convert to a Provisional Application		Proprietary Information		
Affidavits/declar			of Attorney, Revocation e of Correspondence Address	Status Letter		
Extension of Time Request		☐ Termin	al Disclaimer	Other Enclosure(s) (please identify below):		
		Reques	st for Refund	Express Mail Certificate		
Express Abandonme	ent Request	CD, Nu	ımber of CD(s)			
☐ Information Disclosure Statement						
Certified Copy of Priority Document(s)		Rema	rks			
Response to Missing Parts/ Incomplete Application						
Response to Missing Parts under 37 CFR 1.52 or 1.53						
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT						
Firm or Individual name	Smith Moore Li	nith Moore LLP, Philip P. McCean, Reg. No. 30,919				
Signature	Khilip I/M		Va.			
Date (	October 26, <b>2</b> 0	tober 26, <b>2</b> 004				
	C	ERTIFICA	TE OF TRANSMISSION/MAI	LING		
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.						
Typed or printed name						
Signature				Date		

This collection of information is required by 37 CFR 1.5. The Information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the Individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

: Group Art Unit: 1714

: Examiner: not assigned

Serial No. 10/695,060

## N THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Seungkoo Kang, et al.

Confirmation No.: 5976 Filed: 28 October 2003

For: SuperAbsorbent Polymer

Mail Stop PETITION Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

## PETITION UNDER 37 C.F.R. § 1.181(a)(1) and 37 C.F.R. § 1.53(e)(2) TO CORRECT THE FILING DATE OF THE APPLICATION

Sir:

This is a petition under 37 C.F.R. § 1.181(a)(1) and 37 C.F.R. § 1.53(e)(2) to correct the filing date of U.S. patent application Serial No. 10/695,060 from the granted date of April 5, 2004 to the original filing date of October 28, 2003. The April 5, 2004 filing date was granted in the Filing Receipt mailed 4/21/04, responsive to filing drawings under a Notice of Incomplete Nonprovisional Application. Applicants assert that the drawings were not necessary under 35 U.S.C. §113 (first sentence) for a filing date and that the filing date should be the original filing date. Consideration and grant of the petition is respectfully requested.

# Petition Under 37 C.F.R. § 1.181(a)(1) and 37 C.F.R. § 1.53(e)(2) To Correct The Filing Date Of the Application

Pursuant to 37 C.F.R. § 1.181(a)(1), applicants respectfully petition for the correction of the filing date of the above application to the original filing date of the application of

October 28, 2003 because the drawings filed under the Notice of Incomplete Nonprovisional

10/28/2004 MAHMED1 00000100 10695060

130.00 GP

01 FC:1460

٠,

Application were not necessary under 35 U.S.C. §113 (first sentence) for a filing date. This petition includes sufficient evidence to establish applicants' entitlement to the requested filing date including a copy of the date-stamped postcard receipt (Appendix A) and Notice of Incomplete Nonprovisional Application (Appendix B) wherein the filing date is listed as 10/28/03.

35 U.S.C. §113, first sentence requires that the applicant shall furnish a drawing where necessary for the understanding of the subject matter sought to be patented. The MPEP §601.01(f) states,

It has been USPTO practice to treat an application that contains at least one process or method claim as an application for which a drawing is not necessary for an understanding of the invention under 35 U.S.C. §113 (first sentence).

The present application as filed on October 28, 2003 includes process claims 30 and 31. Copies of the originally filed claims are attached. (Appendix C).

MPEP §601.01(f) further states "Other situations in which drawings are usually not considered necessary for the understanding of the invention under 35 U.S.C. §113 (first sentence) include

"(B) Articles made from a particular material of composition: where the invention consists in making an article of a particular material or composition, unless significant details of structure of arrangement are involved in the article claims."

684283.3

Serial No. 10/695,060 05003073-033US1

The claims of the present application are directed to a particular material of composition, superabsorbent polymer, as set forth in claim 1, for example, and absorbent composites including the superabsorbent polymer as set forth in claim 21.

The drawings included in the present application, Figures 1 and 2, are directed to a test method to measure GBP. Figures 1 and 2 and the specification describing the GBP Test, found in the present application beginning on page 23 at line 17 and continuing to page 26 line 19 are fully disclosed in 2002/0045869 that published April 18, 2002 and are not patentable matter in the present application.

This Petition is accompanied by a check in the amount of \$130.00 to cover the fee for this petition under 37 C.F.R. § 1.17(h). If any additional fees for the accompanying petition are required, the Commissioner is hereby authorized to charge them to Deposit Account 502190.

Applicants respectfully request the grant of the petition.

Respectfully submitted

Philip P. M&Cann / Registration No. 30,919

SMITH MOORE LLP

P.O. Box 21927

Greensboro, NC 27420

(336) 378-5302

Date: October 26, 2004

٠,

File No.: 05003073-033US1

684283.3

Mail Stop Patent Application Assistant Commissioner for Patents Washington, DC 20231- P.D. BOX 1450 Alexandria V.A. 22313-1450	Date: 10-28-03 SN/Pat. No. 73 A Confirmation No. 73 A Filing/Issue Date: Applicant/Inventor: Xax 2m. 4n Title: Dide: Abox heat Polymes	
Kindly acknowledge receipt of the accompanying by placing your receiving stamp hereon and retemporate the state of the stamp hereon and retemporate the state of the stamp hereon and retemporate of the stamp her	Um mailing:  □ IDS, PTO/SB/08A & documents □ Amendment/Response □ Notice of Appeal □ Appeal Brief □ Issue Fee □ Maintenance Fee □ Response to Missing Parts □ Certificate of Express Mail □ OTHER (Specify)	
	<u></u>	



## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trudemark Office Address COMMISSIONER FOR PATENTS PO. Box 1450 . Alexandra, Virgana 22313-1450 www.uspio.gov

APPLICATION NUMBER

FILING OR 371 (c) DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/695,060

10/28/2003

Seungkoo Kang

5003073-033US1

29737 SMITH MOORE LLP P.O. BOX 21927 GREENSBORO, NC 27420 CONFIRMATION NO. 5976
FORMALITIES LETTER

\*OC000000011838865\*

Date Mailed: 02/05/2004

## NOTICE OF INCOMPLETE NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

A filing date has NOT been accorded to the above-identified application papers for the reason(s) indicated below.

All of the items noted below and a newly executed oath or declaration covering the items must be submitted within TWO MONTHS of the date of this Notice, unless otherwise indicated, or proceedings on the application will be terminated (37 CFR 1.53(e)). Replies should be mailed to: Mail Stop Missing Parts, Commissioner for Patents, P.O. Box 1450, Alexandria VA 22313-1450.

The filing date will be the date of receipt of all items required below, unless otherwise indicated. Any assertions that the item(s) required below were submitted, or are not necessary for a filing date, must be by way of petition directed to the attention of the Office of Petitions accompanied by the \$130.00 petition fee (37 CFR 1.17(h)). If the petition states that the application is entitled to a filing date, a request for a refund of the petition fee may be included in the petition. Petitions should be mailed to: Mail Stop Petitions, Commissioner for Patents, P.O. Box 1450, Alexandria VA 22313-1450.

- The application was deposited without drawings. 35 U.S.C. 113 (first sentence) requires a drawing "where necessary for the understanding of the subject matter sought to be patented." Applicant should reconsider whether the drawings are necessary under 35 U.S.C. 113 (first sentence).
- The oath or declaration is unsigned.

Replies should be mailed to:

Mail Stop Missing Parts

Commissioner for Patents

P.O. Box 1450

Alexandria VA 22313-1450

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202
PART 2 - COPY TO BE RETURNED WITH RESPONSE

### **CLAIMS**

### What is claimed:

- 1. A superabsorbent polymer comprising
- a) a polymeric resin composition comprising
- i) from about 55 to about 99.9 wt.% of polymerizable unsaturated acid group containing monomers;
  - ii) from about 0.001 to about 5.0 wt.% of an internal crosslinking agent;
  - iii) from 0 to 25 wt % of a preneutralizing agent; wherein the polymeric resin composition is preneutralized from 0 to about 50 mole %; and
- b) a water swellable, water-insoluble aminopolysaccaride polymer;

  wherein when the superabsorbent polymer is contacted with an aqueous solution, the

  polymeric resin is neutralized by the aminopolysaccaride polymer so that the

  superabsorbent polymer has a degree of neutralization of about 20 mole % or more than

  the preneutralization degree of the polymeric resin composition.

- 2. The superabsorbent polymer of Claim 1 having a gel bed permeability of  $100 \times 10^{-9}$  cm<sup>2</sup> or greater.
- The superabsorbent polymer of Claim 1 having a liquid capacity of about20 g/g or greater.

4. 25 g/g or grea	The superabsorbent polymer of Claim 1 having a liquid capacity of about ter.
5. about 200 x 10	The superabsorbent polymer of Claim 1 having a Gel Bed Permeability of $0^{-9}$ cm <sup>2</sup> or greater.
6. about 300 x 10	The superabsorbent polymer of Claim 1 having a Gel Bed Permeability of $0^{-9}$ cm <sup>2</sup> or greater.
7. 0.001 to about	The superabsorbent polymer of Claim 1 further comprising from about t 5.0 wt.% of surface crosslinking agent applied to the particle surface.
	The superabsorbent of Claim 1 is a mixture of aminopolysaccharide and resin in a weight ratio of about 5:95 to about 95:5, and the charide is neutralized from 0 to about 25 mole %.
9. polymer is a c	The superabsorbent polymer of Claim 1 wherein the aminopolysaccaride hitosan polyamine.

The superabsorbent polymer of Claim 1 further comprising a surface

615013 32

5

10

15

20

10.

treatment.

11. The superabsorbent polymer of Claim 10 having a AUL(0.9psi) of 15 or more and GBP of  $450 \times 10^{-9}$  cm<sup>2</sup> or greater.

5

- 12. A superabsorbent polymer comprising:
- a) from about 1 to about 99 wt.% of crosslinked polyacrylic acid resin wherein the polyacrylic acid resin is preneutralized from 0 to about 50 mole %; and
- b) from about 1 to about 99 wt.% of water swellable, water-insoluble
  aminopolysaccaride polymer.

wherein when the superabsorbent polymer is contacted with an aqueous solution, the crosslinked polyacrylic acid resin is neutralized by the aminopolysaccaride polymer so the superabsorbent polymer has a degree of neutralization of about 20 mole % or more than the preneutralization degree of the polyacrylic acid resin.

- 13. The superabsorbent polymer of Claim 12 wherein the crosslinked polyacrylic acid has a degree of neutralization of 30 mole % or more.
- 14. The superabsorbent polymer of Claim 12 having a liquid capacity of about20 g/g or greater.

The superabsorbent polymer of Claim 12 having a liquid capacity of about 15. 25 g/g or greater. 16. The superabsorbent polymer of Claim 12 having a Gel Bed Permeability of about 200 x 10<sup>-9</sup> cm<sup>2</sup> or greater. 17. The superabsorbent polymer of Claim 12 having a Gel Bed Permeability of about 300 x 10<sup>-9</sup> cm<sup>2</sup> or greater. The superabsorbent polymer of Claim 12 further comprising from about 18. 0.001 to about 5.0 wt.% of surface crosslinking agent applied to the particle surface. 19. The superabsorbent polymer of Claim 18 having a AUL(0.9psi) of 15 or more and GBP of 450 x 10<sup>-9</sup> cm<sup>2</sup> or greater. 20. The superabsorbent polymer of Claim 10 wherein the aminopolysaccaride polymeris chitosan. An absorbent composite comprising a superabsorbent polymer comprising: 21. a) a polymeric resin composition comprising

5

10

15

- i) from about 55 to about 99.9 wt.% of polymerizable unsaturated acid group containing monomers;
  - ii) from about 0.001 to about 5.0 wt.% of internal crosslinking agent;
- iii) from 0 to 25 wt % of a preneutralizing agent; wherein the polymeric resin
   composition is preneutralized from 0 to about 50 mole %; and
  - b) a water swellable, water-insoluble aminopolysaccaride polymer;

wherein when the superabsorbent polymer is contacted with an aqueous solution, the polymeric resin composition is neutralized by the water swellable, water-insoluble aminopolysaccaride polymer and the superabsorbent polymer has a degree of neutralization of about 20 mole % or morethan the preneutralized amount of the polymeric resin composition.

- 22. The absorbent composite of Claim 21 wherein the superabsorbent polymer has a liquid capacity of about 20 g/g or greater.
- 23. The absorbent composite of Claim 21 wherein the superabsorbent polymer has a liquid capacity of about 25 g/g or greater.
- 24. The absorbent composite of Claim 21 wherein the superabsorbent polymer 20 has a Gel Bed Permeability of about 200 x 10<sup>-9</sup> cm<sup>2</sup> or greater.

615013

10

has a Gel	The absorbent composite of Claim 21 wherein the superabsorbent polymer Bed Permeability of about $300 \times 10^{-9}$ cm <sup>2</sup> or greater.			
20	The absorbent composite of Claim 21 wherein the superabsorbent polymer			
further co	mprises from about 0.001 to about 5.0 wt.% of surface crosslinking agent			
applied to	the particle surface.			
2 and GBP	The absorbent composite of Claim 21 having a AUL(0.9psi) of 15 or more of $450 \times 10^{-9}$ cm <sup>2</sup> or greater.			
2	The absorbent composite of Claim 21 wherein the aminopolysaccaride			
polymer is a chitosan.				
fibers.	O. An absorbent composite of Claim 21 further comprising a mixture of			
3	A process for the continuous production of superabsorbent polymer			
composition for absorbing aqueous or serous fluids, as well as blood, comprising the				
steps of:				

- a) preparing a polymeric resin composition by reacting
- i) from about 55 to about 99.9 wt.-% of polymerizable unsaturated acid group containing monomers; and
  - ii) from about 0.001 to about 5.0 wt.% of internal crosslinking agent; and
- 5 iii) from 0 to 25 wt % of a preneutralizing agent; wherein the polymeric resin composition is preneutralized from 0 to about 50 mole %; and
  - b) preparing an aqueous solution containing a water swellable, water-insoluble aminopolysaccaride polymer;c) mixing the polymeric resin composition with the aqueous solution containing aminopolysaccaride polymer to form the superabsorbent polymer; and
    - d) drying the superabsorbent polymer

wherein when the superabsorbent polymer is contacted with an aqueous solution, the polymeric resin composition is neutralized by the aminopolysaccaride polymer so the polymeric resin composition is neutralized by the water swellable, water-insoluble aminopolysaccaride polymer such that the superabsorbent polymer has a degree of neutralization of about 20 mole % of more than the preneutralization degree of the polymeric resin.

31. The process of Claim 30 wherein the aminopolysaccaride polymer is chitosan.

20

10

15